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PTO/SB/21 (09-04)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|                        |                        |
|------------------------|------------------------|
| Application Number     | 10/821,127             |
| Filing Date            | April 7, 2004          |
| First Named Inventor   | Kenneth J. Micklash II |
| Group Art Unit         | 1743                   |
| Examiner Name          | Unassigned             |
| Attorney Docket Number | 36-003210US            |

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment / Response
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

PTO-1449, cited references, copy of EP search report and receipt acknowledgment postcard

### Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Angela P. Home, Ph.D., Reg. No. 41,079, Quine Intellectual Property Law Group, P.C.

Signature

*Angela P. Home, PhD*

Date

*August 2, 2006*

## CERTIFICATE OF MAILING

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Evelyn Gomez

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*Evelyn Gomez*

Date

*August 2, 2006*



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QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By

  
Evelyn Gomez

Attorney Docket No. 36-003210US  
Client Ref. No. P1088US10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kenneth J. Micklash II, et al.

Application No.: 10/821,127

Filed: April 7, 2004

For: MATERIAL REMOVAL AND  
DISPENSING DEVICES, SYSTEMS,  
AND METHODS

Examiner: Unassigned

Art Unit: 1743

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR § 1.97 and  
§ 1.98

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. Also, enclosed is a copy of European Search Report for corresponding European Application No. 04759215.9. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



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Reg. No. 41,079

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Substitute for form 1449A-B/PTO

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

## Complete if Known

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|------------------------|------------------------|
| Application Number     | 10/821,127             |
| Filing Date            | April 7, 2004          |
| First Named Inventor   | Kenneth J. Micklash II |
| Group Art Unit         | 1743                   |
| Examiner Name          | Unassigned             |
| Attorney Docket Number | 36-003210US            |
| Date Submitted         | August 2, 2006         |

## U.S. PATENT DOCUMENTS

| Examiner<br>Initials | Cite<br>No. | U.S. Patent Document |                         | Name of Patentee or Applicant of<br>Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, lines,<br>Where Relevant Passages<br>or Relevant Figures Appeal |
|----------------------|-------------|----------------------|-------------------------|--|--|---|
|                      |             | Number               | Kind Code<br>(if known) |  |  |   |
|                      | 1           | 4,341,568            |                         | Christensen  | 07-27-1982   |   |
|                      | 2           | 4,559,664            |                         | Böhme et al.                                       | 12-24-1985   |   |
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## FOREIGN PATENT DOCUMENTS

| Examiner<br>Initials | Cite<br>No. | Foreign Patent Document |              |                         | Name of Patentee or<br>Applicant of Cited Document | Date of Publication<br>of Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T |
|----------------------|-------------|-------------------------|--------------|-------------------------|--|--|---|---|
|                      |             | Office                  | Number       | Kind Code<br>(if known) |  |  |   |   |
|                      | 3           | WO                      | 01/00324     | A1                      | Lionheart<br>Technologies, Inc.                    | 01-04-2001   |   |   |
|                      | 4           | US                      | 2002/0084214 | A1                      | Astle  | 07-04-2002   |   |   |
|                      |             |                         |              |                         |  |  |   |   |
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|                      |             |                         |              |                         |  |  |   |   |

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

| Examiner<br>Initials | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T |
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| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.